

Senior & Disabilities Services

Questions & Answers on IADL/Chore Addition to Service Plan/POC

Updated May 19, 2009

Q. This addendum form gives a date of effective IADL use with an expiration date. The paragraph above this seems to state that these IADL hours are authorized until the next assessment. Do we need to track this expiration date or let all of these continue until the next assessment?

A. The IADL hours will be authorized until the next assessment- you do not have to track an end date. The end date should just correspond with the end date of the service plan under which the recipient is currently utilizing hours. If a reassessment is not performed before the expiration of the current plan, the additional IADLs hours will be extended just as the other hours would be.

Q. Does the addendum apply to the newly assessed clients as well? We have a new client approved for waiver and PCA services, the client lives with his partner (not married) and minor children, his plan does not have time for IADL. Is he allowed to choose between IADL and Chore or just Chore service?

A. Assessments that are being conducted right now are providing for an individualized determination of an applicant's or recipient's need for IADL or Chore Services so newly assessed clients will have these services authorized if they are eligible based on their needs. Recipients who have both waiver and PCA services may choose between receiving chore on waiver or IADLs through PCA.

Q. If we have a consumer, that recently turned 18 and the parent(s) have petitioned and have been granted guardianship but are just waiting on the final paperwork; would this consumer qualify for the IADL addendum since they are technically no longer a minor living with a parent or guardian? And, do I read the process correctly, it is only if the person lives with a spouse or is a minor child living with a parent or guardian.

A. Recipients living with a spouse or are a minor child living with a parent are ineligible for chore or IADL services. All other recipients will have an individualized determination of the need for IADL or chore services at their next assessment. If they were previously denied and are now eligible, an addendum may be submitted until an individualized determination can be made at the next assessment.

Q. What if a consumer does fall into the shared living situation; but was given escort on their approved PCAT Service Plan but no other IADLs; would they still qualify for the 4-hrs of IADLs? Or, should the 4-hrs be reduced by the amount of escort time they had previously been awarded; or do they just not qualify?

A. Yes, the recipient would qualify for IADLs because escort is not an IADL service. Although it is listed on the IADL page of the service plan, it is not defined in the PCA regulations as an IADL service (7 AAC 43.752(a)(2)).

Q. I have two consumers that are husband and wife. Neither of them qualifies for Waiver LOC but both have PCA services. Do both of them now qualify for 4 hours of IADL's or just one of them?

A. They may both submit the IADL request for 4 hours. At the next assessment, an individualized determination of the need for IADL services will be made for each recipient.

Q. We have the IADL addendum approval; the consumer has 1 hour included for Medical escort prior to the IADL approval. Is this 1 hour subtracted from the total IADL available from the addendum?

A. Escort is not an IADL service – it is listed on the service plan as an IADL but according to our trusty PCA regulations, it is not considered an IADL. So – if a recipient has escort, they may also request 4 hours of IADL assistance in accordance with the addendum parameters. The escort time is not part of the IADL hours.

Q. I have performed an amendment and an IADL approval form. She also has reinstated 2006 hours. Can I then increase these 2006 hours with the addendum?

A. This offer is available to recipient's who were denied IADLs due to the shared living rule. If the recipient was not authorized IADL services in 2006 due to the shared living rule, that recipient would be eligible for the additional 4 hours in accordance with the parameters of the addendum offer. Recipients who are receiving the number of hours they had in 2006 are not eligible for an additional 4 hours if the 2006 hours included IADL tasks.

Q. For clients whose IADL Addendum is expiring soon, will they be extended as long as the PA is active as are the current expired service plans until the clients are reassessed? Or, should we re-submit expiring IADL addendums every month upon each expiration until the clients affected are reassessed?

A. The additional units added to the prior authorization for IADL services will be extended. Please do not resubmit addendums for recipients who have had the IADLs added.

Q1. How do we submit the *Addendum to Authorize IADL/Chore Hours* form?

A1. Submit the form one of the following ways:

- 1. Fax – 907-269-3639*
- 2. Drop off in person*
- 3. Mail – 3601 C Street, Suite 310, Anchorage, AK 99503*

Q2. If a client is on an OA waiver and lives with her son, would she now be eligible for Chore, and if so, would it be the Care Coordinator who submits the request in this case and not the provider agency?

A2. The waiver units are using the same process currently in place for PCA to amend the POC to reflect this settlement change. The Care Coordinator should coordinate with the recipients they believe should have chore services to select a chore service provider.

Q3. Does the 1/3 IADL rule apply?

A3. Because this offer is due to a settlement agreement for those who were denied IADLs in the past due to the shared living rule, PCA regulation 7 AAC 43.755(14)(C) the 1/3 rule for IADLs does not apply to this group.

Q4. What is the effective date?

A4. The effective date is the date that the recipient signed the request form.

Q5. RETRACTED

Q6. If a PCA recipient is living with his/ her spouse who has a waiver, can both request the additional hours?

A6. SDS will authorize either chore or IADLs for the household.

Q7. Will SDS be relying on the agencies to ensure compliance with 7 AAC 43.755(14)(C) & (D) as IADLs are restored to consumers aggrieved by the shared living rule??

A7. SDS expects that agencies will comply with current regulations except as noted above for 7 AAC 43.755(14)(C).

Questions regarding individuals on waiver services

1. Can a person have IADLs on the PCA plan and have Chore through their APD/OA Waiver?
What if the Care Coordinator is very explicit in their narrative that there is not duplication as the time for Waiver chore is specifically pertaining to certain tasks?

Recipients may choose between IADLs through PCA or Chore through Waiver but may not have both.

2. Since we are allowing IADLs even though there is an able bodied person to provide them (with those exceptions pertaining to certain regs), does that mean that a person can in fact choose to have them on the PCA plan and is not forced to have the IADLs through Waiver chore?

Yes. Recipients may choose between IADLs through PCA or Chore through waiver but may not have both.

3. If the person has Waiver and is not approved for chore under Waiver because they live with someone, does that mean they can apply for IADL's in PCA under this change?
4. If they are a Waiver recipient should they apply for chore under Waiver and cannot under PCA and are they still separate? What if they just have respite under Waiver and no chore? Can they ask for the IADL's under the change?

If the recipient is approved for both PCA and Waiver services, he/she may either submit an addendum to add IADL services through the PCA program or submit an addendum to have Chore added to his/her Waiver, but may not do both. If the recipient is only receiving Waiver services, he/she may submit an addendum to add Chore. If the recipient is only on the PCA program, he/she may submit an addendum request to add IADL services.

Questions regarding eligibility

5. What if the spouse isn't capable of performing the IADL's due to a medical condition or they are gone during the day at work?

SDS is currently working on a revised policy to clearly explain the process for demonstrating a spouse is incapable of performing IADL or Chore activities due to a medical condition. Please continue to use the existing process as outlined in PCA policy 2007-7 to request an exception to the spouse restriction.

A spouse who works during the day is responsible for providing for the IADL or Chore services.

6. A RN Assessor instructed one of the parents of our consumers to submit the addendum due to the responsibilities of the parent, who had multiple children and was taking care of an elderly Grandmother and a child with a disability. The RN believed that the parent wasn't capable to perform the IADL's and would need assistance from the PCA. Are their exceptions that we should be submitting or should this be addressed by the RN Assessor during the assessment?

Providing for the tasks covered under IADL services is a parental responsibility and minors living with a parent or guardian are not eligible for IADLs.

7. If a recipient currently has two IADL's approved totaling only 1.5 hrs and wants to add 2.5 hours of other IADL tasks they don't currently have authorized can they?

No, this offer is for recipients who have previously been denied IADLs or Chore due to the shared living rule. If a recipient currently has IADL services, they were not denied under that rule.

8. If they have 4 hrs already but need more due to a medical change, should we file an amendment for them?

Yes, please use the amendment process to request additional hours due to the change.

Questions regarding billing

9. How do we bill Medicaid for the services being provided? Do we use the same P.A. number, or will there be an additional P.A. issued that will give a separate approval number for the additional hours?

The prior authorization number will remain the same. The actual of units available to bill using that prior authorization will be increased to reflect the additional units.

10. We will be unable to bill for the hours without a P.A.?

The prior authorization number will remain the same. The actual of units available to bill using that prior authorization will be increased to reflect the additional units.

11. If we submit the request can we start the services w/o SDS approval or will we be issued a new service plan with the IADL's? Does an approval on the new form okay the increase?

For PCA Addendums, SDS returns the completed requests to agencies each Friday via fax. The start date is the date the recipient signed the request. SDS will not be requiring a new service plan to add the IADL activities and the returned, signed addendum request authorizes the agency to provide and bill for the services.

Miscellaneous questions

12. Can the client pick and choose what IADL's they want regardless of time limits for each task (such as the limit of 90/min/wk for laundry on service plans)?

Yes

13. Do we submit an additional service plan amendment if the four hours are not sufficient to cover the needs of the consumer

Yes

Update – Week of April 13

Q: In the case of a Medicaid Waiver recipient living with his or her frail elderly spouse who is not on the Waiver but is medically unable to perform chore tasks, we sometimes were able to include chore services in the Plan of Care if the spouse's physician provided SDS with documentation attesting to the fact that the spouse could not do the chores due to clearly stated medical reasons. Will this be possible under the revised regulations?

A: Yes

Q: The recipients that had a roommate or someone else living with them that had Waiver generally received Respite since they did not qualify for Chore. Are recipients able to get both Respite and Chore since now that the Chore regulations have changed they are eligible for Chore and under Respite descriptions they would still be eligible for that service also?

A: Yes

Q: The way the change is written it states for recipients who were denied chore/IADL's- what if they were not denied but the agency or CC never put in for the IADL's or chore because we knew it was against regulation and therefore we didn't request it?

A: *This settlement also applies to those who never applied for the service because they believed they would have been denied.*

Q: Is an amendment required for adding chore or just the new form (addendum to authorize IADLChore hours)? Even for new recipients requesting it?

A: *Only the addendum is required.*

Q: Do we need to justify why someone is requesting the chore or is it a given because they meet the criteria? There is no place on the new form.

A: *Not with submitting the addendum. Upon waiver renewal, justification for the service will be required.*

Q: For clarification- if the recipient chooses chore the care coordinator is required to submit the paperwork. If they choose IADL's, is the PCA agency required to submit the form? There is no place for the care coordinator to sign the new form, only the provider agency- it would seem they should submit the form...?

A: *For PCA IADLs, the PCA agency is expected to submit the form as there is often no care coordinator involved. For Waiver recipients who choose Chore, the care coordinator is expected to coordinate locating a Chore provider and submitting the form. Care coordinators are not required to sign the form.*

Q: Scenario: I have a client who lives w/ his mother and is total care- he receives PCA/respice, is fed thru a feeding tube, doesn't use the bathroom or kitchen, etc. I'm sure his mom will want 10 hours of chore but it seems that wouldn't be justifiable, does it matter? The client meets the criteria.

A: It is the recipient or their legal representative's choice which service to select for this settlement. Upon the next plan renewal, justification for the requested service will be reassessed.

Q. What about those PCA recipients who were only approved for escort under IADL? Are they authorized for other IADLs such as: meal prep, shopping, light housework, and laundry?

A. Escort is not an IADL so there may be people who have been denied IADLs due to the shared living rule but still have escort in the plan. Recipients who have escort but who were previously denied IADLs due to the shared living rule would be eligible for IADLs as long as they are not living with a spouse or not a minor child living with his/her parents.

Q. Once we have approval regarding the PCAT and distributing the 4 hours of IADL's, is this something we can pen into the current PCAT, or upcoming PCAT reauthorizations?

A. The PCA program is faxing back approved IADL addendum forms. This is what authorizes the agency to bill for the IADL services, utilized however the recipient needs. SDS will not be sending out revised service plans. This offer will be in effect until the recipient has another assessment at which time SDS will make an individualized determination of a need for IADL services.